



Agencija za trg
vrednostnih papirjev



Številka: 092-29/2016-9
Datum: 17. 10. 2016

PUBLIC WARNING

against company
Bayridge Securities LLC New York, USA

Slovene Securities Market Agency is warning investors about activities of the company:
- Bayridge Securities LLC New York, USA.

The abovementioned company have not obtained the Agency's authorization according to Market in Financial Instruments Act (Official Gazette of the Republic of Slovenia 108/10, 78/11, 55/12, 105/12- ZBan-1J and 63/13 ZS-K) or any other securities law to carry out services in the financial instruments field in Slovenia and by the date of this warning the Agency has not received any documentation proving that the authorization is sought in order to acquire that authorization. Company is also not authorized to provide direct investment services and deals related to financial instruments on the territory of the Republic of Slovenia.

The list of the entities with the Agency's authorization is available on the Agency's website www.a-tvp.si. List of other financial institutions which are granted authorizations to provide investment services and deals in Slovenia is also available on the Bank of Slovenia's website <http://www.bsi.si/en/banking-supervision.asp?Mapald=105>.

The Agency strongly urges investors considering dealing with those companies to exercise the greatest possible caution before proceeding, bearing in mind the contents of this public notice.